



## UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 19 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/032,200         | 12/21/2001          | Michel Deeba          | 4007                   |

CONFIRMATION NO. 4939

## FORMALITIES LETTER



\*OC000000007500000\*

Engelhard Corporation  
101 Wood Avenue  
P.O. Box 770  
Iselin, NJ 08830

Date Mailed: 02/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/21/2002 TBESHAH1 00000045 051070 10032202 FILED UNDER 37 CFR 1.53(b)

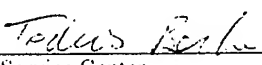
01 FC:105 130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$72.
  - \$72 for 4 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 202.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/26/2002 HMOHAMM1 00000002 051070 10032200

01 FC:105 130.00 CH

#4  
COPY OF PAPERS  
ORIGINALLY FILED



PATENT 4007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Deebe, Michel Group No.: 3747  
Serial No.: 10/032,202  
Filed: December 21, 2001  
Title: EXHAUST SYSTEM AND METHOD FOR REMOVING PARTICULATE  
MATTER FROM DIESEL ENGINE EXHAUST

Commissioner for Patents  
Washington, DC 20231

March 8, 2002

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in that the following data is incorrectly entered:

APPLICATION NUMBER

and that the filing receipt should read as follows:

10/032,202

3. There is an error in that the following data is incorrectly entered:

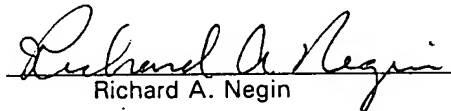
IND CLAIMS

and that the filing receipt should read as follows:

5

4. The Commissioner is hereby authorized to charge any fees in order complete the above requested corrections to Deposit Account No. 05-1070.

Reg. No. 28,649  
Engelhard Corporation  
101 Wood Avenue-P.O. Box 770  
Iselin, New Jersey 08830-0770  
Tel.: 732/205-6241

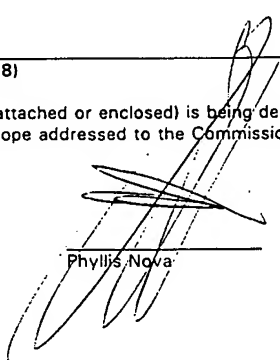
  
Richard A. Negin

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below as first class mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231.

Date: March 8, 2002

IN DUPLICATE

  
Phyllis Nova

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WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 10/032,200         | 12/21/2001  | 3747         | 824           | 4007             | 12       | 24         | 4          |

10/032,200

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101 Wood Avenue  
P.O. Box 770  
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CONFIRMATION NO. 4939

FILING RECEIPT



\*OC000000007499999\*

Date Mailed: 02/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Michel Deeba, East Brunswick, NJ;

**Assignment For Published Patent Application**

ENGELHARD CORPORATION;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 01/30/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Exhaust system and method for removing particulate matter from diesel engine exhaust

**Preliminary Class**

123

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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PATENT 4007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

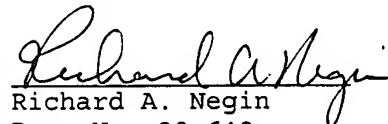
.....  
APPLICANT: Deeba, Michel :  
SERIAL NO. 10/032,202 / 10032200 :  
DATE FILED: December 21, 2001 :  
TITLE: EXHAUST SYSTEM AND METHOD :  
FOR REMOVING PARTICULATE :  
MATTER FROM DIESEL ENGINE :  
EXHAUST :  
ART UNIT: 3747 :  
.....

BOX MISSING PART  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231

COMPLETION OF FILING REQUIREMENTS

1. This replies to the office letter dated February 20, 2002, a copy of which is attached hereto.
2. An original declaration or oath is enclosed for this application signed by the inventors.
3. Please charge Deposit Account 05-1070 as follows:  
Surcharge for the late filing of original  
declaration or oath - \$130.00.
4. Commissioner is authorized to charge any additional fees required to complete the filing of this application to Deposit Account 05-1070. A duplicate of this request is enclosed.

March 8, 2002  
Engelhard Corporation  
101 Wood Avenue - P.O. Box 770  
Iselin, New Jersey 08830-0770  
732/205-6241

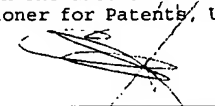
  
Richard A. Negin  
Reg. No. 28,649

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Date: March 8, 2002

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Phyllis Nova